

## 2. Corruption [24]

### Commitment

“We call for the ratification of the United Nations Convention against Corruption (UNCAC) by all countries and a strong and consistent follow-up of the Bali Conference by ensuring effective implementation of UNCAC, including the development of an effective transparent and inclusive review mechanism.”

*G8 Leaders Declaration: Responsible Leadership for a Sustainable Future*

### Assessment

Country	Lack of Compliance	Work in Progress	Full Compliance
Canada			+1
France			+1
Germany		0	
Italy		0	
Japan		0	
Russia			+1
United Kingdom			+1
United States			+1
European Union			+1
Average Score			+0.67

### Background

Corruption first entered the G8’s agenda at the 2003 Evian Summit, where the G8 members declared to “fight corruption and mismanagement of public resources in both revenue raising and expenditures.”<sup>76</sup> The G8 has continued to recognize and support the United Nations Convention against Corruption (UNCAC) as the primary instrument for fighting corruption.

The UNCAC aims to prevent and punish corruption through a set of legal and regulatory standards. As well, the UNCAC aims to foster “international cooperation and technical assistance in the prevention of and fight against corruption, including asset recovery.”<sup>77</sup> The UNCAC thus far has 140 signatories, including all G8 members, and 143 parties to the convention.<sup>78</sup> The following table shows the G8 members’ commitment to the UNCAC so far:

G8 Member	Signature	Ratification, Approval (a)
Canada	21 May 2004	2 October 2007
France	9 December 2003	11 July 2005

<sup>76</sup> Fighting Corruption and Improving Transparency: A G8 Declaration, G8 Information Centre (Toronto) 2 June 2003. Date of Access: 1 November 2009. [www.g7.utoronto.ca/summit/2003evian/corruption\\_en.html](http://www.g7.utoronto.ca/summit/2003evian/corruption_en.html).

<sup>77</sup> United Nations Convention against Corruption. United Nations Office on Drugs and Crime (Vienna) September 2004. Date of Access: 1 November 2009. [www.unodc.org/documents/treaties/UNCAC/Publications/Convention/08-50026\\_E.pdf](http://www.unodc.org/documents/treaties/UNCAC/Publications/Convention/08-50026_E.pdf).

<sup>78</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2008. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

Germany	9 December 2003	
Italy	9 December 2003	5 October 2009
Japan	9 December 2003	
Russia	9 December 2003	9 May 2006
United Kingdom	9 December 2003	9 February 2006
United States	9 December 2003	30 October 2006
European Community	15 September 2005	12 November 2008 (a)

In order to help facilitate the progress in members' implementation of the UNCAC treaty, participants of the first session of the Conference of States Parties to the UNCAC in Amman, Jordan in 2006 proposed a UNCAC review mechanism.<sup>79</sup> The participants also established an Open-ended Intergovernmental Working Group that has since met five times and reported back to the Conference of States Parties to the UNCAC.<sup>80</sup> The Bali Conference to Strengthen Integrity and Fight Corruption, held in Indonesia from 28 January to 1 February 2008, involved over 100 states parties to the UNCAC and emphasized the importance of a UNCAC review mechanism to furthering international cooperation in the fight against corruption.<sup>81</sup>

The most recent session of the Conference of States Parties to the UNCAC was held in Doha, Qatar from 9 to 13 November 2009.<sup>82</sup> At the Conference, the participants ratified a review mechanism that would monitor states' implementation of the UNCAC. According to the mechanism, states will be monitored for their fulfillment of the UNCAC obligations every five years by peer review and self-assessments.<sup>83</sup>

### **Commitment Features**

This commitment requests G8 members to ratify the UNCAC, have they already not done so. Since ratifying the UNCAC is not an incremental process, but a one-off action, ratifications that took place before the L'Aquila Summit are acceptable compliance. The commitment also mentions the creation of a review mechanism for the UNCAC. Work on the review mechanism has been entrusted to the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC. Thus, the commitment compels G8 members to attend meetings of the working group. For full

<sup>79</sup> Open-ended Intergovernmental Working group on the Review of the Implementation of the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna). Date of Access: 1 November 2009. [www.unodc.org/unodc/en/treaties/CAC/working-group1.html](http://www.unodc.org/unodc/en/treaties/CAC/working-group1.html).

<sup>80</sup> Open-ended Intergovernmental Working group on the Review of the Implementation of the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna). Date of Access: 1 November 2009. [www.unodc.org/unodc/en/treaties/CAC/working-group1.html](http://www.unodc.org/unodc/en/treaties/CAC/working-group1.html).

<sup>81</sup> Bali Conference to Strengthen Integrity and Fight Corruption, United Nations Office on Drugs and Crime (Vienna) 15 January 2008. Date of Access: 1 November 2009. [www.unodc.org/unodc/en/press/releases/2008-01-15.html](http://www.unodc.org/unodc/en/press/releases/2008-01-15.html).

<sup>82</sup> Third global corruption conference in Doha, United Nations Office on Drugs and Crime (Vienna). 12 October 2009. Date of Access: 2 November 2009. [www.unodc.org/unodc/en/frontpage/2009/October/third-global-corruption-conference-in-doha.html](http://www.unodc.org/unodc/en/frontpage/2009/October/third-global-corruption-conference-in-doha.html).

<sup>83</sup> Doha Deal Creates Corruption Monitoring Mechanism, Conference of States Parties to the UNCAC (Doha) 13 November 2009. Date of Access: 15 December 2009. [www.cosp3-uncac.pp.gov.qa/news02.aspx](http://www.cosp3-uncac.pp.gov.qa/news02.aspx).

compliance, G8 members must ratify (or already have ratified) the UNCAC, and attend all meetings of the intergovernmental working group this compliance cycle.

### Scoring

-1	Member does not ratify the UNCAC, before or during the compliance period <b>AND</b> member does not attend any meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC or the Conference of States Parties to the UNCAC.
0	Member ratifies, or has already ratified, the UNCAC <b>OR</b> member attends at least one meeting of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC or the Conference of States Parties to the UNCAC.
+1	Member ratifies, or has already ratified, the UNCAC <b>AND</b> attends all of the meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC and the Conference of States Parties to the UNCAC.

*Lead Analyst: Egor Ouzikov*

### Canada: +1

Canada has fully complied with its commitment to ratify the UNCAC. Canada ratified the UNCAC on 2 October 2007<sup>84</sup> and has also actively worked on the review mechanism for the UNCAC.

Canada has attended all meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC. On 25 August-2 September 2009, the Canadian Government sent three representatives to Vienna to attend the Fifth Intersessional Meeting on the Review of the Implementation of the UNCAC.<sup>85</sup> Canada also sent a six-person delegation to attend the Third Session of the Conference of States Parties to the UNCAC in Doha, Qatar on 9-13 November 2009.<sup>86</sup> The Canadian delegation at Doha was headed by its Ambassador to the International Organizations at Vienna, John Barrett, and included representatives from the Department of Justice and

<sup>84</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2008. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

<sup>85</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review of Implementation of the United Nations Convention against Corruption, Fifth Meeting (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>86</sup> UN meeting larges in Doha: Organisers, The Peninsula On-line (Doha) 13 November 2009. Date of Access: 14 November 2009. [www.thepeninsulaqatar.com/Display\\_news.asp?section=Local\\_News&subsection=Qatar+News&month=November2009&file=Local\\_News2009111372255.xml](http://www.thepeninsulaqatar.com/Display_news.asp?section=Local_News&subsection=Qatar+News&month=November2009&file=Local_News2009111372255.xml).

the Department of Foreign Affairs and International Trade.<sup>87</sup> At the Doha Conference, signatories to the UNCAC, including Canada, ratified a review mechanism.<sup>88</sup>

Thus, Canada has been awarded a score of +1 for having ratified the UNCAC in 2007 and for its participation in the ratification of the review mechanism.

*Analyst: Corinne Ton That*

**France: +1**

France has fully complied with its commitment to ratify the UNCAC. On 11 July 2005, France became the first G8 country to ratify the UNCAC.<sup>89</sup> France has also pursued the UNCAC review mechanism.

France has attended all meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC. French representatives attended the Fifth Intersessional Meeting on the Review of the Implementation of the UNCAC in Vienna from 25 August to 2 September 2009.<sup>90</sup> France sent a large delegation to attend the Third Session of the Conference of States Parties to the UNCAC in Doha, Qatar on 9-13 November 2009.<sup>91</sup> The French delegation at Doha included its Ambassador to Qatar, Gilles Bonnaud, as well as representatives from the Ministry of Justice.<sup>92</sup> At the Doha Conference, signatories to the UNCAC, including France, ratified a review mechanism.<sup>93</sup>

Thus, France has been awarded a score of +1 for having ratified the UNCAC in 2005 and for its participation in the ratification of the review mechanism.

*Analyst: Guy Joseph*

**Germany: 0**

Germany has partially complied with its commitment to ratify the UNCAC and pursue the UNCAC review mechanism. Germany signed the UNCAC on 9 December 2003 but

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<sup>87</sup> Conference of the States Parties to the United Nations Convention against Corruption: Final List of Participants, United Nations Office on Drugs and Crime (Vienna) 13 November 2009. Date of Access: 10 December 2009. [www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP\\_CoSP3\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP_CoSP3_FINAL.pdf).

<sup>88</sup> Doha Deal Creates Corruption Monitoring Mechanism, Conference of States Parties to the UNCAC (Doha) 13 November 2009. Date of Access: 10 December 2009. [www.cosp3-uncac.pp.gov.qa/news02.aspx](http://www.cosp3-uncac.pp.gov.qa/news02.aspx).

<sup>89</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2008. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

<sup>90</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review of Implementation of the United Nations Convention against Corruption, Fifth Meeting (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>91</sup> UN meeting larges in Doha: Organisers, The Peninsula On-line (Doha) 13 November 2009. Date of Access: 14 November 2009. [www.thepeninsulaqatar.com/Display\\_news.asp?section=Local\\_News&subsection=Qatar+News&month=November2009&file=Local\\_News2009111372255.xml](http://www.thepeninsulaqatar.com/Display_news.asp?section=Local_News&subsection=Qatar+News&month=November2009&file=Local_News2009111372255.xml).

<sup>92</sup> Conference of the States Parties to the United Nations Convention against Corruption: Final List of Participants, United Nations Office on Drugs and Crime (Vienna) 13 November 2009. Date of Access: 10 December 2009. [www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP\\_CoSP3\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP_CoSP3_FINAL.pdf).

<sup>93</sup> Doha Deal Creates Corruption Monitoring Mechanism, Conference of States Parties to the UNCAC (Doha) 13 November 2009. Date of Access: 10 December 2009. [www.cosp3-uncac.pp.gov.qa/news02.aspx](http://www.cosp3-uncac.pp.gov.qa/news02.aspx).

has not ratified it yet.<sup>94</sup> However, Germany attended all of the meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC and the Conference of States Parties held during the current compliance cycle.

Germany has attended the most recent meeting of the Open-ended Intergovernmental Working Group. Germany sent five delegates to the Fifth Intersessional meeting of the Open-ended Intergovernmental Working Group in Vienna from 25 August 2009 to 2 September 2009.<sup>95</sup> Since Germany has yet to ratify the UNCAC, it attended the meeting as an observer state. In addition, Germany attended the Third Session of the Conference of the States Parties to the UNCAC from 9 November 2009 to 13 November 2009 in Doha, Qatar, as an observer state.<sup>96</sup>

Thus, Germany has been awarded a score of 0 for attending the all the meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC and the Conference of States Parties during the current compliance cycle.

*Analyst: Julie Beckstead*

### **Italy: 0**

Italy has partially complied with its commitment to ratify the UNCAC and pursue the UNCAC review mechanism. On 5 October 2009, Italy became the most recent G8 member to ratify the UNCAC,<sup>97</sup> but it has not attended all of the meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC held during the current compliance cycle.

Italy has not attended all meetings of the Open-ended Intergovernmental Working Group. Italy did not attend the Fifth Intersessional Meeting on the Review of the Implementation of the UNCAC, held in Vienna on 25 August-2 September 2009.<sup>98</sup> However, Italy sent a delegation to attend the Third Session of the Conference of the State Parties to the UNCAC held in Doha, Qatar, on 9-13 November 2009.<sup>99</sup> Italy's representation at the

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<sup>94</sup> Open-ended Intergovernmental Working Group on the Review of the Implementation of the United Nations Convention against Corruption. 2009. Date of Access: 10 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>95</sup> Open-ended Intergovernmental Working Group on the Review of the Implementation of the United Nations Convention against Corruption. 2009. Date of Access: 10 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>96</sup> Final List of Participants in the Conference of the States Parties to the United Nations Convention against Corruption (Doha) 13 November 2009. Date of Access: 10 December 2009. [www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP\\_CoSP3\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP_CoSP3_FINAL.pdf).

<sup>97</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2008. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

<sup>98</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review of Implementation of the United Nations Convention against Corruption, Fifth Meeting (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>99</sup> Conference of the States Parties to the United Nations Convention against Corruption: Final List of Participants, United Nations Office on Drugs and Crime (Vienna) 13 November 2009. Date of Access: 10 December 2009. [www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP\\_CoSP3\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP_CoSP3_FINAL.pdf).

Doha Conference included its ambassador to Qatar, Andrea Ferrari, representatives from its Ministry of Justice, and members of its Department for the Public Function, Anti-Corruption and Transparency Service.<sup>3</sup> At this Intersessional Meeting, signatories to the UNCAC, including Italy, ratified a review mechanism.<sup>100</sup>

Thus, Italy has been awarded a score of 0 for having ratified the UNCAC in 2009 and for taking part in the ratification of a review mechanism at the Doha Conference.

*Analyst: Guy Joseph*

### **Japan: 0**

Japan has partially complied with its commitment to ratify the UNCAC and pursue the UNCAC review mechanism. While Japan signed the UNCAC on 9 December 2003, it has yet to ratify the UNCAC.<sup>101</sup> However, Japan attended all of the meetings of the Open-Intergovernmental Working Group on the Review of the Implementation of the UNCAC and the Conference of State Parties.

Japan has attended previous meetings of the Open-Intergovernmental Working Group. However, Japan failed to attend the Fifth Intersessional Meeting held in Vienna on 25 August-2 September 2009.<sup>102</sup> Japan also did not send a delegation to the Third Session of the Conference of the State Parties to the UNCAC held in Doha, Qatar, on 9-13 November 2009.<sup>103</sup>

Thus, Japan has been awarded a score of 0 for attending all of the Open-ended Intergovernmental Working Group meetings held in the current G8 compliance cycle. Japan's progress towards compliance with the corruption commitment has been hindered by the fact that Japan has yet to ratify the UNCAC.

*Analyst: Corinne Ton That*

### **Russia: +1**

Russia has fully complied with its commitment to ratify the UNCAC and pursue the UNCAC review mechanism. Russia ratified the UNCAC on 9 May 2006.<sup>104</sup>

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<sup>100</sup> Doha Deal Creates Corruption Monitoring Mechanism, Conference of States Parties to the UNCAC (Doha) 13 November 2009. Date of Access: 10 December 2009. [www.cosp3-uncac.pp.gov.qa/news02.aspx](http://www.cosp3-uncac.pp.gov.qa/news02.aspx).

<sup>101</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

<sup>102</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review Implementation of the United Nations Convention against Corruption, Fifth Meeting (Vienna) 2 September 2009. Date of Access: 9 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf)

<sup>103</sup> Conference of the States Parties to the United Nations Convention against Corruption: Final List of Participants, United Nations Office on Drugs and Crime (Vienna) 13 November 2009. Date of Access: 10 December 2009. [www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP\\_CoSP3\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP_CoSP3_FINAL.pdf).

<sup>104</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

On 25 August-2 September 2009, the official delegates from Russia participated in the Fifth Intersessional Meeting of the Open-ended Intergovernmental Working Group on Review of the Implementation of the UNCAC in Vienna.<sup>105</sup> On 7-8 November 2009, Russia participated in the Third Session of the Conference of States Parties to the UNCAC in Doha, Qatar. Russia was represented by the Ministry of Foreign Affairs and the Ministry of Justice.<sup>106</sup> The participants developed a UNCAC implementation control mechanism. Russia, along with Angola, Algeria, Venezuela, Egypt, Zimbabwe, Pakistan, and others voted for less binding review mechanism, which excludes obligatory civil society participation, mutual control, and publication of reports.<sup>107</sup>

Thus, Russia has been awarded a score of +1 for having ratified the UNCAC in 2006 and for participation in the Open-ended Intergovernmental Working Group on Review of the Implementation of the UNCAC and in the Conference of States Parties to the UNCAC.

*Analyst: Yulia Ovchinnikova*

### **United Kingdom: +1**

The United Kingdom has fully complied with its commitment to ratify the UNCAC and pursue the UNCAC review mechanism. The United Kingdom signed ratified the UNCAC on 9 February 2006.<sup>108</sup>

The UK attended all of the meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC and the Conference of States Parties held during the current compliance cycle.

On 25 August-2 September 2009, four British representatives attended the Fifth Intersessional Meeting on the Review of the Implementation of the UNCAC in Vienna.<sup>109</sup> The UK officials were involved in continued negotiations over a review mechanism to enforce the UNCAC.<sup>110</sup> The UK attempted to build up a consensus position among

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<sup>105</sup> The Open-ended Intergovernmental Working Group on Review of the Implementation of the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) Date of access: 25 November 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>106</sup> Participants, Global Forum VI on Fighting Corruption and Safeguarding Integrity. Date of access: 27 November 2009. [www.gf6.pp.gov.qa/participants.htm](http://www.gf6.pp.gov.qa/participants.htm).

<sup>107</sup> Verbatim Record of the Meeting of the Council for Civil Society Institutions and Human Rights, President of Russia (Moscow) 23 November 2009. Date of access: 8 December 2009. [news.kremlin.ru/transcripts/6074](http://news.kremlin.ru/transcripts/6074).

<sup>108</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

<sup>109</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review of Implementation of the United Nations Convention against Corruption, Fifth Meeting (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>110</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review of Implementation of the UNCAC, Fifth Meeting (Vienna) 2 September 2009. Date of Access: 3 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

nation-states with the help of the EU and the United States.<sup>111</sup> The UK also succeeded in gaining the commitment of a number of the G77 states to the process.<sup>112</sup> On 13 November 2009, participants of the Third Session of the Conference of States Parties to the UNCAC in Doha, Qatar, agreed to the ratification of the review mechanism.<sup>113</sup> States including the UK were unable to convince all countries in attendance to consent to a process that would have allowed participation by civil society groups to encourage transparency.<sup>114</sup> A representative for the British Department of International Development expressed that the “UK would have liked the agreement to be stronger.”<sup>115</sup>

Thus, the UK has been awarded a score of +1 for having ratified the UNCAC in 2009 and for taking part in all of the meetings of the Open-ended Intergovernmental Working Group and in the ratification of a review mechanism at the Doha Conference.

*Analyst: Sarah Beard*

### **United States: +1**

The United States has fully complied with its commitment to ratify the UNCAC and pursue the UNCAC review mechanism. The US ratified the UNCAC on 30 October 2006<sup>116</sup> and has attended all of the meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC and the Conference of States Parties held in the current compliance cycle.

The US attended the Fifth Intersessional meeting of the Open-ended Intergovernmental Working Group in Vienna from 25 August 2009 to 2 September 2009.<sup>117</sup> Furthermore,

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<sup>111</sup> Aid Effectiveness and Accountability Newsletter for September 2009, Department for International Development (London) 18 September 2009. Date of Access: 3 December 2009.

[www.dfid.gov.uk/About-DFID/Finance-and-performance/Making-DFIDs-Aid-more-effective/Aid-effectiveness-newsletters/Aid-effectiveness-and-accountability-newsletter---September-2009/](http://www.dfid.gov.uk/About-DFID/Finance-and-performance/Making-DFIDs-Aid-more-effective/Aid-effectiveness-newsletters/Aid-effectiveness-and-accountability-newsletter---September-2009/).

<sup>112</sup> Aid Effectiveness and Accountability Newsletter for September 2009, Department for International Development (London) 18 September 2009. Date of Access: 3 December 2009.

[www.dfid.gov.uk/About-DFID/Finance-and-performance/Making-DFIDs-Aid-more-effective/Aid-effectiveness-newsletters/Aid-effectiveness-and-accountability-newsletter---September-2009/](http://www.dfid.gov.uk/About-DFID/Finance-and-performance/Making-DFIDs-Aid-more-effective/Aid-effectiveness-newsletters/Aid-effectiveness-and-accountability-newsletter---September-2009/).

<sup>113</sup> UN Convention against Corruption review mechanism: A flawed step forward, Transparency International: The Global Coalition against Corruption (Doha) 13 November 2009. Date of Access: 3 December 2009. [www.transparency.org/news\\_room/latest\\_news/press\\_releases/2009/2009\\_11\\_13\\_review\\_mechanism\\_flawed](http://www.transparency.org/news_room/latest_news/press_releases/2009/2009_11_13_review_mechanism_flawed).

<sup>114</sup> Aid Effectiveness and Accountability Newsletter for November 2009, Department for International Development (London) 30 November 2009. Date of Access: 3 December 2009.

[www.dfid.gov.uk/About-DFID/Finance-and-performance/Making-DFIDs-Aid-more-effective/Aid-effectiveness-newsletters/Aid-effectiveness-and-accountability-newsletter---november-2009/](http://www.dfid.gov.uk/About-DFID/Finance-and-performance/Making-DFIDs-Aid-more-effective/Aid-effectiveness-newsletters/Aid-effectiveness-and-accountability-newsletter---november-2009/).

<sup>115</sup> Aid Effectiveness and Accountability Newsletter for November 2009, Department for International Development (London) 30 November 2009. Date of Access: 3 December 2009.

[www.dfid.gov.uk/About-DFID/Finance-and-performance/Making-DFIDs-Aid-more-effective/Aid-effectiveness-newsletters/Aid-effectiveness-and-accountability-newsletter---november-2009/](http://www.dfid.gov.uk/About-DFID/Finance-and-performance/Making-DFIDs-Aid-more-effective/Aid-effectiveness-newsletters/Aid-effectiveness-and-accountability-newsletter---november-2009/).

<sup>116</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

<sup>117</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review of Implementation of the United Nations Convention against Corruption, Fifth Meeting (Vienna) 9 December 2009. Date of Access: 16 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).



the United States sent 11 delegates to the Third Session of the Conference of the States Parties to the UNCAC from 9 November 2009 to 13 November 2009 in Doha, Qatar.<sup>118</sup> At this Intersessional Meeting, signatories to the UNCAC, including the US, ratified a review mechanism.<sup>119</sup>

Thus, the US has been awarded a score of +1 for its ratification of the UNCAC as well as its attendance at both Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC meeting and the Conference of States Parties.

*Analyst: Julie Beckstead*

### **European Union: +1**

The European Union has fully complied with its commitment to ratify the UNCAC and pursue the UNCAC review mechanism. On 12 November 2008, the EU ratified the UNCAC.<sup>120</sup> The EU also sent representatives to all of the meetings of the Open-ended Intergovernmental Working Group on the Review of the Implementation of the UNCAC and the Conference of States Parties.

On 25 August-2 September 2009, the EU and the Council of the EU attended the Fifth Intersessional Meeting of the Open-ended Intergovernmental Working Group.<sup>121</sup> The EU sent a delegation of four while one observer from the Council of the European Union was also present.<sup>122</sup> Furthermore, the EU participated in the third session of the Conference of States Parties to the UNCAC on November 9-13.<sup>123</sup> In its opening speech, the EU pushed for the involvement of non-governmental organizations in the process, as well as in-country visits that would provide experts a better understanding of the local issues on the enforcement of the UNCAC.<sup>124</sup> Additionally, the EU urged that states allow the public

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<sup>118</sup> Final List of Participants in the Conference of the States Parties to the United Nations Convention against Corruption (Doha) 13 November 2009. Date of Access: 10 December 2009.

[www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP\\_CoSP3\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP_CoSP3_FINAL.pdf).

<sup>119</sup> Doha Deal Creates Corruption Monitoring Mechanism, Conference of States Parties to the UNCAC (Doha) 13 November 2009. Date of Access: 10 December 2009. [www.cosp3-uncac.pp.gov.qa/news02.aspx](http://www.cosp3-uncac.pp.gov.qa/news02.aspx).

<sup>120</sup> Signatories to the United Nations Convention against Corruption, United Nations Office on Drugs and Crime (Vienna) 9 December 2009. Date of Access: 9 December 2009. [www.unodc.org/unodc/en/treaties/CAC/signatories.html](http://www.unodc.org/unodc/en/treaties/CAC/signatories.html).

<sup>121</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review of Implementation of the UNCAC, Fifth Meeting (Vienna) 2 September 2009. Date of Access: 3 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>122</sup> Official List of Participants in Open-ended Intergovernmental Working Group on Review of Implementation of the UNCAC, Fifth Meeting (Vienna) 2 September 2009. Date of Access: 3 December 2009. [www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02\\_-\\_LOP\\_WG1\\_-\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/WorkingGroups/workinggroup1/25Aug-02Sep2009/2009-09-02_-_LOP_WG1_-_FINAL.pdf).

<sup>123</sup> Final List of Participants in the Conference of the States Parties to the United Nations Convention against Corruption (Doha) 13 November 2009. Date of Access: 10 December 2009. [www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP\\_CoSP3\\_FINAL.pdf](http://www.unodc.org/documents/treaties/UNCAC/COSP/session3/LoP_CoSP3_FINAL.pdf).

<sup>124</sup> Opening Statement by Sweden on behalf of the European Union at the Third Session of the Conference of State Parties to the United Nations Convention against Corruption, Swedish Presidency of the European Union (Stockholm) 9 November 2009. Date of Access: 3 December 2009. [www.se2009.eu/polopoly\\_fs/1.23688!menu/standard/file/Final%20EU%20statement%20at%20UNCAC%20CoSP.pdf](http://www.se2009.eu/polopoly_fs/1.23688!menu/standard/file/Final%20EU%20statement%20at%20UNCAC%20CoSP.pdf).

release of the final reports on compliance.<sup>125</sup> On 13 November 2009, the Third Session of the Conference of States Parties to the UNCAC accepted a review mechanism that fulfilled at least two of the three EU's demands.<sup>126</sup>

The Council of the European Union has also pressured for a strong and effective review mechanism. On 8 October 2009, the Council of the Europe's Group of States against Corruption sent a letter to the countries participating in the third meeting of the Conference of States Parties to the UNCAC, reminding them that "international norms are at risk of remaining dead letters if they are not backed up by a credible review mechanism and process."<sup>127</sup>

Thus, the European Union has been awarded a score of +1 for fully complying with the G8 commitment on corruption by ratifying the UNCAC and by pursuing a review mechanism to ensure enforcement.

*Analyst: Sarah Beard*

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<sup>125</sup> Opening Statement by Sweden on behalf of the European Union at the Third Session of the Conference of State Parties to the United Nations Convention against Corruption, Swedish Presidency of the European Union (Stockholm) 9 November 2009. Date of Access: 3 December 2009. [www.se2009.eu/polopoly\\_fs/1.23688!menu/standard/file/Final%20EU%20statement%20at%20UNCAC%20CoSP.pdf](http://www.se2009.eu/polopoly_fs/1.23688!menu/standard/file/Final%20EU%20statement%20at%20UNCAC%20CoSP.pdf).

<sup>126</sup> UN Convention against Corruption review mechanism: A flawed step forward, Transparency International: The Global Coalition against Corruption (Doha) 13 November 2009. Date of Access: 3 December 2009. [www.transparency.org/news\\_room/latest\\_news/press\\_releases/2009/2009\\_11\\_13\\_review\\_mechanism\\_flawed](http://www.transparency.org/news_room/latest_news/press_releases/2009/2009_11_13_review_mechanism_flawed).

<sup>127</sup> Message from the Council of Europe's Group of States against Corruption addressed to the Third Session of the Conference of the States Parties to the United Nations Convention against Corruption, Council of Europe's Group of States against Corruption (Strasbourg) 8 October 2009. Date of Access: 3 December 2009. [www.unodc.org/documents/treaties/UNCAC/COSP/session3/V0987267e.pdf](http://www.unodc.org/documents/treaties/UNCAC/COSP/session3/V0987267e.pdf).